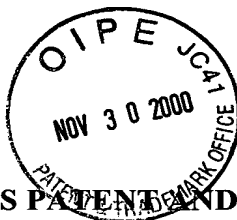


DOCKET NO.: CEPH-1066



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Richard W. Scott, Andrew G. Reaume and
Karen Dorfman

Serial No.: 09/621,897

Group No.: Not Yet Assigned

Filing Date: July 20, 2000

Examiner: Not Yet Assigned

**For: GENE-TARGETED NON-HUMAN MAMMAL WITH HUMAN FAD
PRESENILIN MUTATION AND GENERATIONAL OFFSPRING**

DATE OF DEPOSIT: Nov. 27, 2000

I HEREBY CERTIFY THAT THIS PAPER IS BEING
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FOR PATENTS, WASHINGTON, DC 20231.

Patrick J. Farley
TYPED NAME: Patrick J. Farley
REGISTRATION NO.: 42,524

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Assistant Commissioner for Patents
Washington DC 20231

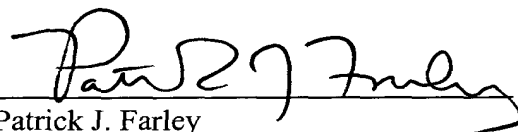
RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the "Notice to File Missing Parts of Application--Filing Date Granted"
dated September 25, 2000, a response to which is due November 27, 2000, enclosed herewith
for filing is:

- ☒ The original Combined Declaration and Power of Attorney, executed by the inventor(s). An unexecuted copy of this document, attached to the above-identified specification, was filed by Express Mail No. EL531431137US on July 20, 2000.
- ☐ An Associate Power of Attorney is also enclosed.
- ☐ Request for Corrected Filing Receipt.
- ☐ Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a Statement previously submitted on July 20, 2000.

- ☐ The original executed Statement Claiming Small Entity Status Under 37 CFR 1.9 and 1.27 is filed herewith.
- ☒ This application is no longer entitled to small entity status. A Notification of Loss of Entitlement to Small Entity Status is enclosed.
- ☒ A check in the amount of \$130.00 is attached to cover the surcharge. Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.
- ☐ Please charge the surcharge to my Deposit Account No. 23-3050 in the amount of \$@@.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3050. This sheet is attached in duplicate.
- ☒ Any patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).
- ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
- ☒ Any filing fees under 37 CFR 1.16 including fees for presentation of extra claims.

Date: Nov. 27, 2000


Patrick J. Farley
Registration No. 42,524

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DOCKET NO.: CEPH-1066



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Richard W. Scott, Andrew G. Reaume and
Karen Dorfman

Serial No.: 09/621,897

Group Art Unit: Not Yet Assigned

Filing Date: July 20, 2000

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**For: GENE-TARGETED NON-HUMAN MAMMAL WITH HUMAN FAD
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WASHINGTON, DC 20231.

Patrick J. Farley
TYPED NAME: Patrick J. Farley
REGISTRATION NO.: 42,524

Assistant Commissioner for Patents
Washington DC 20231

**NOTIFICATION OF LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS
(37 CFR 1.28(b))**

Applicant hereby notifies the Patent and Trademark Office that it is no longer entitled to status as a Small Entity, and that the claim for Small Entity status, set forth in the Verified Statement filed on July 20, 2000, is hereby withdrawn.

Date: Nov. 27, 2000

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/621,897	07/20/2000	Richard W. Scott	CEPH-1066

Paul K Legaard
WOODCOCK WASHBURN KURTZ MACIEWICZ & NORRIS LLP
One Liberty Place
46th Floor
Philadelphia, PA 19103

FORMALITIES LETTER



OC000000005423635



Date Mailed: 09/25/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/05/2000 AWONDAF1 00000035 09621897

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